SYSTEMATIC REVIEW

Significance of Patient Safety and Safety Culture in Dental Schools: A Systematic Review

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Abstract:

Objectives: This article aims to systematically review and analyze the outcome of published literature on patient safety and safety culture related to dental schools. It also aims to observe implemented changes in dental school training and curriculum that have improved patient safety and safety culture within institutions.

Methods: All studies concerning patient safety and safety culture from the period of January 2010 to May 2020 were included which were specific to dental educational institution settings. The assessment was based on the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analysis) checklist to ascertain that the included studies were specific to the objective of our systematic review.

Results: The included studies were assessed for country-wise publication, type of study, and its outcome. Of the 10 studies that met the inclusion criteria, 5 articles were related to patient safety. 2 out of the 5 articles were from the United States of America (USA) 2 were from the United Kingdom (UK), followed by 1 from Mexico. For articles related to safety culture, 3 out of the 5 articles were from Saudi Arabia, and 2 were from the USA.

Conclusion: The analysis of the selected review articles suggests that rigorous training should be implemented in inpatient record documentation, incident reporting, and infection control protocols. The authors suggested focused training on patient safety culture and the inclusion of safety culture awareness and training to the dental undergraduate curriculum.

Keywords: Patient safety, Safety culture, Dentistry, Dental schools, Prevention, Reduction.

1. INTRODUCTION

1.1. Role of Patient Safety and Quality in Dentistry

Patient safety emphasizes on the prevention and reduction as well as the analysis and reporting of medical errors that may often lead to adverse effects. Approximately 440,000 patients die every year due to hospital errors, injuries, and accidents [1].

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In the 1990s multiple reports related to adverse events to patients, were published. The World Health Organization declared that patient safety is an endemic concern, and the World Alliance for Patient Safety was launched in Washington DC on 27th October 2004, in this regard [2]. This initiated quality improvement protocols in the medical field, which were later adopted into dental health care.

In dentistry, Mills, and Batchelor measured quality using the Dental Quality and Outcomes Framework tool and measured clinical effectiveness, safety, and patient experience [3]. These dimensions are validated by data collection and
evaluation in the form of checklists. The collected data is depicted in various forms, such as fishbone diagram, control chart, stratification, Pareto chart, histogram and scatter diagram, etc. The analyzed data is used as the key performance indicator to analyze the current status regarding patient safety and safety culture within the organization studied. Patient safety in dentistry is defined as control of all possible risk factors, representing the highest-quality dental care with successful outcomes [4].

In dental clinics, much of the patient safety data is evaluated from medical record audits, incident reporting, and the data collection of patient satisfaction. Accurately filled incident report forms identify threats to patient safety and help to formulate guidelines to reduce future patient safety incidents. Many national organizations, such as NPSA (National Patient Safety Agency) in England and Wales, encourage national error reporting. This, in turn, incorporates a patient safety system throughout the country. Yip et al. also observed that medical and dental undergraduates trained in quality accredited institutions in the UK were more aware of adverse drug reporting than students trained outside of UK [5]. Thusu et al. compared patient safety incidents in dentistry to the accuracy of the National Patient Safety Agency Database from January to December 2009. Their data showed that the majority were due to clerical errors (36%). The other noted causes were injury sustained during a procedure (10%), medical emergency (6%), inhalation/ingestion of dental material (4%), adverse reactions (4%), and wrong-site extraction (2%) [6]. It was also observed that there was a low reporting of patient safety incidents within the dental specialties, indicating a lack of focus on patient safety culture within the profession. Bedout et al. administered a questionnaire testing dental residents' diagnosis and intervention skills in emergencies in dental practice. They found that hyperventilation was diagnosed incorrectly by 56% and cardiac arrest by 36% of the dental residents. Moreover, The authors also stressed the need to do emergency drills and training on a regular basis. [7]

Safety culture is defined by the Health and Safety Commission in the UK as “the product of individual and group values, attitudes, perceptions, competencies, and patterns of behavior that determine the commitment to, and the style and proficiency of an organization’s health and safety management. [8]” Ramoni et al. evaluated the safety culture in three US dental schools by a validated Medical Office Survey on Patient Safety Culture (MOSOPS). They analyzed different dimensions, such as organizational learning, teamwork, staff training, work pressure, office process and standardization, leadership role, communication about the error, communication openness, and patient care tracking. He also compared the data between medical practices and dental school practices and concluded that Medical clinics fared much better in safety culture than dental clinics [9]. Al Sweleh et al. conducted a cross-sectional study at the College of Dentistry at King Saud University in Saudi Arabia using a questionnaire validated by the Agency for Healthcare Research and Quality Hospital Survey on Patient Safety Culture (US). They concluded that teamwork within units showed the highest score of 72%, and staffing and non-punitive errors (that the errors made by them are not held against them or kept in their file) observed a low score of 10% [10]. The objective of this review is to search for literature on patient safety culture conducted in dental education institutions and analyze their outcome. The rationale is to observe to what extent dental students and residents are presently aware of patient safety and safety culture and whether they require additional exposure and training during their course. The aim is also to observe implemented changes in dental school training and curriculum that have improved the patient safety and safety culture within institutions. Implementation of training in patient safety and safety culture will ensure that future dentists will inculcate this culture in their practice.

2. REVIEW METHODOLOGY

2.1. Focus Question

How many dental schools have conducted studies in patient safety and safety culture and published the outcome, and what is their country of origin?

2.2. Methods

2.2.1. Search Strategy and Selection Process

This review was conducted in line with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines. A structured electronic search was conducted of online databases, PubMed, Cochrane Library, and Google Scholar, from January 1st 2010 to 1st May 2020. The MESH search string used was (“patient safety”[All Fields]) OR (“safety culture”[All Fields]) AND (“dentistry” OR “dental school”).

2.2.2. Total Articles Screened and Selected

On the initial search of PubMed, Google Scholar, and Cochrane Library databases, 14,360 articles were identified, of which 348 were found not to be related to dentistry based on the title of the articles and hence excluded. Of the remaining 14,012 articles, 355 records were identified from PubMed. Out of the 355, there were 15 systematic reviews, 124 reviews, 3 classical articles, 17 guidelines, 3 RCTs, 6 meta-analyses, 124 clinical studies along with surveys, 35 clinical trials, and 28 case reports. From Google Scholar, 13,655 articles were identified in the initial search. From the Cochrane Library search, two systematic reviews were obtained. During the abstract screening process, 13,408 studies were either duplicate or were irrelevant to the present study and hence were excluded. From the remaining 604 articles, 518 articles not specific to dental patient safety and safety culture were excluded, and the remaining 86 underwent further scrutiny of the full text by four authors in the second phase. In the final phase, 76 articles related to dental specialties focusing on patient safety or safety culture were excluded as they did not relate to a dental school or dental educational institution. Only 10 studies met the exact inclusion criteria (Fig. 1). A flow chart documenting the article selection process is shown in Fig. (1).

Three authors (HA, LM and BG) were involved in the initial selection process; after the initial screening, the excluded titles were rechecked by HA, and LM and both were in 100 percent
agreement. The final included articles were reviewed by a third and fourth author (YK and AL). YK was not in favour of adding case-based studies as they focused more on guidelines for treatment in different fields of dental specialty. Hence after discussion and critical evaluation by all authors as per Prisma checklist, all case-based studies were excluded, and only patient safety and safety culture study related articles conducted within dental institutions were included to prevent bias. The method of screening was followed by Prisma flow chart (Fig. 1).

![Fig. (1). PRISMA Flow chart.](image)

Table 1. PRISMA Checklist.

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<th>SECTION/TOPIC</th>
<th>#</th>
<th>CHECKLIST ITEM</th>
<th>REPORTED ON PAGE #</th>
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<tr>
<td><strong>ABSTRACT</strong></td>
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<tr>
<td>Structured summary</td>
<td>2</td>
<td>Objectives, data sources, study eligibility criteria, participants, and interventions, study appraisal and synthesis methods, results, conclusion</td>
<td>1</td>
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<tr>
<td><strong>INTRODUCTION</strong></td>
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<td>Rationale</td>
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<td>Objectives</td>
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<td><strong>METHODS</strong></td>
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<tr>
<td>Protocol and registration</td>
<td>5</td>
<td>Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information, including registration number.</td>
<td>NA</td>
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<tr>
<td>Eligibility criteria</td>
<td>6</td>
<td>Inclusion criteria</td>
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Amongst the ten relevant articles, the maximum publications from the literature review on patient safety were from the USA and UK, and the articles on safety culture were from Saudi Arabia and the USA. The methodological review observed that extensive reviews were conducted until 2010, and no data was available on patient safety in dentistry after that. After 2010, studies related to dental clinics were published as databases, but these extensive dental institutional studies were unavailable except for a few surveys. From 2014 onwards, multiple studies from dental institutions began focusing on orienting and training students and recommended adding patient safety and safety culture within the dental curriculum. They also stressed that patient safety principles are to be included in national rules, regulations, and guidelines as policies.

### 2.3. Inclusion Criteria

All articles in English and specific to patient safety in dentistry and safety culture in dental institutions were included. All peer-reviewed and published reviews, and observational and cross-sectional studies were included.

### 2.4. Exclusion Criteria

All articles published in languages other than English were excluded. All articles not specifically related to patient safety and safety culture in dental schools were excluded. All case studies, guidelines, editorials, letters, conference abstracts, books, theses, and opinions were excluded. All articles on patient care interventions without reference to patient safety and safety culture were excluded.
### Table 2. Short-listed studies related to patient safety and safety culture.

<table>
<thead>
<tr>
<th>References</th>
<th>Country</th>
<th>Participants/articles (N)</th>
<th>Time period</th>
<th>Type/Method of study</th>
<th>Selected Outcome</th>
</tr>
</thead>
</table>
| Kiersma *et al.* (2011) [11] | UK      | 23 articles Medicine: 13 Nursing: 4 Pharmacy: 3 Dentistry: 0 | 1966 -2010 | A systematic review (Patient safety instruction in health professional curriculum) | 1. 1966-2010: No articles found on patient safety initiative in dentistry 2. Patient safety training was added to the medical curriculum in the form of lectures, case-based exercises, active and simulation exercises, along with medical audits. 3. Self-assessment survey was used to determine student perception of patient safety after training them. The assessments from various literature review show improvement in knowledge, attitude, and skill related to patient safety  
   - Recommend curriculum change to include patient safety. The above assessment showed improvement in patient safety, and hence the authors recommended curriculum change to include patient safety. |
| Pemberton *et al.* 2014 [14] | UK      | 16 dental institutes under NHS | Dec 2009 - Sept 2013 | Measuring patient safety through the development of a dental clinical effectiveness dashboard for institutions | The dashboard contained: 1. Measures of harm evaluated by the number of patient falls, medication errors, and adverse events. 2. Measures of reliability of safety processes, such as hand hygiene, dental audits, clinical training, correct site surgery, patient identification, progress note entries, completed consent form, BLS, and ACLS training with the annual report. 3. Clinical effectiveness. All these were monitored over three years to improve patient safety. The dashboard had performance indicators on patient safety. When the indicator was red, it showed a fall in the set benchmark, and hence initiatives were taken to work on the identified factor. This way, in three years, almost all factors were showing a green indicator, indicating an acceptable benchmark for all patient safety factors.  
   - Recommend consistent training in patient safety protocols to increase patient safety compliance. |
| Thierer *et al.* (2017) [13] | USA     | 1 dental school | 2014 -2015 | A retrospective review of Electronic documentation in US dental school by students | 1. On the evaluation of progress notes, poorly documented records were identified, and the students who did poor documentation were traced. 2. The students who did poor documentation and their supervising faculty were given corrective training in documentation  
   - Recommend repeated monitoring followed by corrective training for proper documentation improved compliance. |
| Osegueda- Espinosa *et al.* (2017) [12] | Mexico  | 34 Dental school graduates | 2013 - 2014 | Self-administered questionnaire survey | During undergraduate dental clinical training, dental students committed errors that placed patients at risks, such as pulp exposure, sharp instrument injury, burns, wrong tooth extraction, injection of sodium hypochlorite instead of local anesthesia, breakage of needles during anesthesia, and accidental swallowing of a restoration  
   - Recommend more training, orientation, and continuing education in patient safety protocols. |
<table>
<thead>
<tr>
<th>References</th>
<th>Country</th>
<th>Participants/articles (N)</th>
<th>Time period</th>
<th>Type/Method of study</th>
<th>Selected Outcome suggestions to improve patient safety/culture (bullet, in bold)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bedout et al. (2018) [18]</td>
<td>USA</td>
<td>22 residents in specialty programs, 21 specialist faculty members, and 24 general practice faculty members and others</td>
<td>2017</td>
<td>Exploratory study</td>
<td>Dental residents were given a questionnaire with ten clinical emergency cases and asked to identify the diagnosis and indicated intervention. Several responses had varying degrees of incorrect diagnoses and management across all groups. In the case of hyperventilation, 59% of responses had an incorrect diagnosis in the case of cardiac arrest, 36% had incorrect diagnoses. • Repetitive training to be done for better preparedness in the diagnosis and management of medical emergencies in a dental setting.</td>
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<td>Ramoni et al. 2014 [19]</td>
<td>USA</td>
<td>3 dental schools with 328 participants; dental students: 48%, Dental hygienists: 1%, Faculty: 23% and staff: 25%</td>
<td>2010 - 2011</td>
<td>Medical office survey on patient safety culture (AHRQ)</td>
<td>1. Six dimensions were evaluated: Communication Openness, Communications about Error, Organizational Learning, Overall Perceptions of Patient Safety and Quality, Owner/Managing Partner/Leadership Support for Patient Safety, and Teamwork. 2. Compared Data with an average of medical fields from MOSOPS 3. Patient follow up for dental fields was only 36%, but for medical fields, the patient follow up was 82% • Recommendation: A clear need is demonstrated to improve dental patient safety culture in dental institutions.</td>
</tr>
<tr>
<td>Al Sweleh et al. 2017 [10]</td>
<td>Saudi Arabia</td>
<td>1 Dental school Participants: Interns Dental students Practitioners Dental assistants</td>
<td>2017 - 2018</td>
<td>Cross-sectional study based questionnaire (Modified AHRQ)</td>
<td>Twelve dimensions were evaluated: Teamwork, manager expectations, organizational learning, management support, the overall perception of patient safety, communication, adverse event reporting, handoffs, blame culture, openness, and staffing. Perception of patient safety culture varied among students to practitioners. • Improvement in communication openness, staffing, and fear of blame is required in dental clinics.</td>
</tr>
<tr>
<td>Al-Surimi et al. 2018 [17]</td>
<td>Saudi Arabia</td>
<td>2 Dental schools Participants: Only female dental students: 133 and dental hygienists: 88</td>
<td>Nov 2016 - Jan 2017</td>
<td>A cross-sectional study based on a self-administrative survey</td>
<td>Student perceptions on teamwork, safety climate, job satisfaction, stress recognition, management support, and working conditions were evaluated. The overall average outcome indicated 54.4% for teamwork, 51.4% for safety climate, 64.5% for job satisfaction, 56.2% for stress recognition, 50.7% for management support, and 55.3% for working condition. • Patient safety principles are to be included in national rules, regulations, and guidelines. Increased awareness and training students will improve the patient safety culture.</td>
</tr>
<tr>
<td>Ahsan et al. 2019 [15]</td>
<td>Saudi Arabia</td>
<td>Dental schools: 2 clinics of dental schools Dental staff and assistants including residents: 149</td>
<td>March to June 2016</td>
<td>A cross-sectional study based on Patient safety culture hospital Questionnaire.</td>
<td>Teamwork, adverse event reporting, supervisor appreciation when a job was done well, and importance to patient safety was evaluated. Variation in the perception of patient safety culture in the same organization and between organizations. • Recommend that respective organizations give priority to patient safety</td>
</tr>
</tbody>
</table>
3. RESULTS

Articles were screened and finalized as per the PRISMA checklist (Table 1) for systematic review. The method for screening was done as depicted by the PRISMA flow chart (Fig. 1). Articles related to the field of medicine, pharmacy, nursing, articles specific to pediatric dentistry, oral surgery though indirectly related to patient safety and safety culture, were excluded. The initial screening was done by two authors, and 86 full-text articles were short-listed. From the short-listed articles, 66 were related to patient safety in dentistry and 20 to safety culture in the dental field. Four authors, after reading through the full-text articles as per the exclusion criteria, eliminated 76 articles which either were related to dental specialties or were not related to a dental school or dental educational institution. Only 10 studies met the exact inclusion criteria. Of the 10 articles, 5 were related to patient safety and 5 were related to safety culture (Table 2).

Table (2) presents all the included articles in this review along with the outcomes of each study and recommendations from the authors on how patient safety and safety culture can be improved in dental schools. In our review, we observed that the maximum publications from the literature review on patient safety were from the USA and UK, and the articles on safety culture were from Saudi Arabia and the USA. Kiersma et al. in 2011, did a systematic review of curriculum change incorporating patient safety. The authors extensively reviewed 6 databases from 1966 - 2010 and found no reviews related to patient safety in dentistry [11]. They highlighted the fact that the medicine, nursing, and pharmacy fields had published studies and reviews regarding patient safety curriculum. They also pointed out that medicine, nursing and pharmacy have published data that training on patient safety was imparted in the form of lectures, case-based exercises, active and simulation exercises, along with medical audits. These forms of training were added to the existing curriculum for medical, nursing, and pharmacy. From 2014 onwards, multiple studies from dental institutions began focusing on orienting and training students [12, 13, 14]. All the five reviewed articles (Table 2) recommended more training and orientation, and continuing education in patient safety protocols. Theirer, in, followed up students’ progress notes and documented them. Students who continually performed poorly in the documentation of medical records in the dental clinic were re-trained and re-evaluated for their documentation skills [13]. The authors stated that enforcing repeated monitoring followed by training for proper documentation improved compliance. Pemberton et al. maintained a dashboard for all patient safety factors in dental institutions [14]. This clinical effectiveness dashboard had three color codes, red indicated compliance below the benchmark, amber indicated borderline compliance, and green indicated good compliance to patient safety factors. The quality department kept a tab of those factors which had red indicators and focused on improving those factors below the benchmark. Over a period of time, the red indicators turned green, indicating compliance. Hence the authors observed that maintaining a clinical effectiveness dashboard can improve patient safety practices within an organization.

The results about safety culture indicated that awareness in dental schools regarding safety culture is improving over the last few years but compared to medicine, the progress is slow. All studies on safety culture were survey-based cross-sectional studies [9, 10, 15, 16, 17]. The authors recommended focused training of all students and staff on patient safety culture. They also stressed that patient safety principles are to be included in national rules, regulations, and guidelines. Also, increased awareness and training of students will improve the patient safety culture. No systematic review has been published exclusively for patient safety and safety culture in dentistry and dental institutions. The summary of all the reviewed study results is highlighted in Table (2).
4. DISCUSSION

The concept of patient safety in dentistry was initiated due to multiple reported adverse events in the media, of which one example was in the UK when a newspaper reported a 17-year-old girl’s death during wisdom tooth removal in 2011 [18]. Many authors, thereafter, such as Kalenderian et al. in 2017, reviewed adverse event data and classified dental adverse events into those that result in temporary, moderate, and severe harm to the patient [19]. Obadan et al. searched published literature from 1970 to June 2013 on patient harm and found 182 publications (containing 270 cases). They observed that the commonest problems were delayed treatment, unnecessary treatment, or misdiagnosis. Of the reviewed cases, 24.4% of the patients had permanent harm, and 11.1% of the patients died [20]. Hiivala et al. retrospectively evaluated data collected from the Finnish Patient Insurance Centre (FPIC) for claims. They found that the most common complaints were related to endodontics, prosthetics, and oral surgical procedures [21].

The American Association of Medical Colleges has recommended the addition of education regarding quality improvement in the undergraduate curriculum [22]. In dental colleges, multiple survey-based cross-sectional studies have been carried out by various authors on patient safety culture [23, 24, 25]. The commonest survey tool used to measure patient safety culture is the Agency for Healthcare Research and Quality Patient Safety Culture [26]. They included dimensions, such as just culture (an environment where individuals feel free to report errors and help the organization to learn from mistakes), reporting culture, learning culture, leadership, risk analysis, work-load management, sharing learning, and resource management.

Many authors, after conducting cross-sectional studies in dental schools, have suggested that meticulous training and orientation in guidelines and protocols along with the addition of patient safety as part of the undergraduate dental curriculum would improve patient safety and safety culture perceptions in the dental field [15, 16, 17]. Even though the urgent need to emphasize patient safety measures through the dental curriculum has been suggested by authors, this is being confined to suggestions and is not yet practically implemented in most dental institutions. WHO, in 2011, formulated a multi-professional patient safety curriculum for the implementation in medical, educational institutions [27]. It has two parts: Part A consisting of a teacher’s guide on imparting knowledge about patient safety to educators, and Part B with ready to teach topics on patient safety. In 2012, they conducted a global evaluation study to evaluate the outcome of this policy in dental educational institutions. Whether the administrations of these institutions to initiate the process of implementing patient safety and safety culture practices would lead to a foundation for patient safety culture measures within the organization.

CONCLUSION

During the abstract screening, it was observed that published literature was by far larger for the field of medicine than dentistry. This, in turn, might be the reason that adherence to patient safety and safety culture protocols are found to be higher in the field of medicine than in dentistry. Authors have highlighted that, to improve patient safety and safety culture, these concepts should be included in the undergraduate dental curriculum. It is observed from our review that only 10 studies were published from dental educational institutions. The paucity of published literature from dental educational institutions indicates a need to revise the existing dental undergraduate curriculum and inculcate a safety culture among undergraduate dental students. From the literature, the commonest observed problems were delayed treatment, unnecessary treatment, or misdiagnosis. The WHO multi-professional patient safety curriculum, if implemented in all dental teaching institutions worldwide, can improve patient safety practices, as proved through this study. This, in turn, would lead to a foundation for patient safety culture measures being initiated in dental educational institutions. Whether dental educational institutions incorporate safety and safety culture in their organizations and curriculum should be considered when ranking such institutions. This will encourage the administrations of these institutions to initiate the process of implementing patient safety and safety culture practices within the organization.

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CONFLICT OF INTEREST

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REFERENCES

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